

MAY 26 2004

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DATE: May 26, 2004

NO. OF PAGES (INCLUDING THIS PAGE): 16 17

FOR: Examiner John Ricci, Art Unit 3712

COMPANY: United States Patent and Trademark Office

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FROM: David A. McClaughry

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COMMENTS:

Application No.: 10/706,736

Filing Date: November 12, 2003

Applicant: Louis Grace, Jr. et al.

Group Art Unit: 3712

Examiner: John Ricci

Title: Mechanical Broadhead with Sliding Blades

Attorney Docket: 8514-000007

Transmittal Form; Fee Transmittal (in duplicate); and Amendment.

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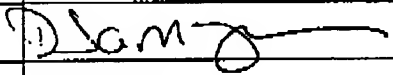
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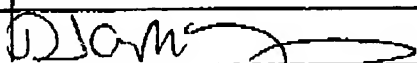
TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/706,736
	Filing Date	November 12, 2003
	First Named Inventor	Louis Grace, Jr. et al.
	Group Art Unit	3712
	Examiner Name	John Ricci
Total Number of Pages in This Submission	Attorney Docket Number	8514-000007

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="text-align: center;">Return Receipt Postcard</div>
<div style="border: 1px solid black; width: 100px; float: left; margin-right: 10px;">Remarks</div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Harness, Dickey & Pierce, P.L.C.	Attorney Name David A. McClaughry	Reg. No. 37,885
Signature			
Date	May 26, 2004		

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Signature		Date	May 26, 2004

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Effective 10/01/2003, Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT	(\$)	18
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Complete if Known

Application Number	10/706,736
Filing Date	November 12, 2003
First Named Inventor	Louis Grace, Jr.
Examiner Name	John Ricci
Art Unit	3712
Attorney Docket No.	8514-000007

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:

Deposit Account Number	09-0750
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Deposit Account Name	Harness, Dickey & Pierce, P.L.C.
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FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Patent filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1)	(S) 0
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2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

			Extra Claims		Fee from below		Fee Paid
Total Claims	35	-33 "	2	X	9	=	18
Independent Claims		-3 "	0	X		=	0
Multiple Dependents				X		=	0

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	88	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)	(\$) 18
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FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entry	Small Entry	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or release)	
1502	480	2502	240	Design issue fee	
1503	540	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR § 1.17 (g)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0

SUBMITTED BY

Name (Print/Type)

David A. McCaughy

Registration No.
(Attorney/Agent)

37.885

Telephone

Complete (if applicable)

(24B) 841-1600

Signature

Date _____

May 28, 2004

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 18

Complete if Known

Application Number 10/706,736

Filing Date November 12, 2003

First Named Inventor Louis Grace, Jr.

Examiner Name John Ricci

Art Unit 3712

Attorney Docket No. 8614-000007

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None
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☒ Deposit Account:

Deposit
Account
Number

09-0750

Deposit
Account
Name

Harness, Dickey & Pierce, P.L.C.

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☐ Charge fee(s) indicated below, except for the filing fee
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FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	2001	770	385	2001	385	Utility filing fee	
1002	2002	340	170	2002	170	Design filing fee	
1003	2003	530	265	2003	265	Plant filing fee	
1004	2004	770	385	2004	385	Reissue filing fee	
1005	2005	160	80	2005	80	Provisional filing fee	

SUBTOTAL (1)

(\$ 0)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
35	-33** = 2	X 9 = 18	
Independent Claims	-3** = 0	X = 0	
Multiple Dependent	X = 0		

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1202	2202	18	9	2202	9	Claims in excess of 20
1201	2201	66	43	2201	43	Independent claims in excess of 3
1203	2203	290	145	2203	145	Multiple dependent claim, if not paid
1204	2204	86	43	2204	43	** Reissue independent claims over original patent
1205	2205	18	9	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ 18)

** or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	2051	130	65	2051	65	Surcharge - late filing fee or oath	
1052	2052	50	25	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	2053	130	130	2053	130	Non-English specification	
1812	2812	2,520	2,520	2812	2,520	For filing a request for reexamination	
1804	2804	920*	920*	2804	920*	Requesting publication of SIR prior to Examiner action	
1805	2805	1,840*	1,840*	2805	1,840*	Requesting publication of SIR after Examiner action	
1251	2251	110	55	2251	55	Extension for reply within first month	
1252	2252	420	210	2252	210	Extension for reply within second month	
1253	2253	950	475	2253	475	Extension for reply within third month	
1254	2254	1,480	740	2254	740	Extension for reply within fourth month	
1255	2255	2,010	1,005	2255	1,005	Extension for reply within fifth month	
1401	2401	330	165	2401	165	Notice of Appeal	
1402	2402	330	165	2402	165	Filing a brief in support of an appeal	
1403	2403	290	145	2403	145	Request for oral hearing	
1451	2451	1,510	1,510	2451	1,510	Petition to institute a public use proceeding	
1452	2452	110	55	2452	55	Petition to revive - unavoidable	
1453	2453	1,330	665	2453	665	Petition to revive - unintentional	
1501	2501	1,330	665	2501	665	Utility issue fee (or release)	
1502	2502	490	240	2502	240	Design issue fee	
1503	2503	640	320	2503	320	Plant issue fee	
1480	2480	130	130	2480	130	Petitions to the Commissioner	
1807	2807	50	50	2807	50	Processing fee under 37 CFR 1.17 (c)	
1808	2808	180	180	2808	180	Submission of Information Disclosure Sheet	
8021	2021	40	40	2021	40	Recording each patent assignment per property (thrice number of properties)	
1809	2809	770	385	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	2810	770	385	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	2801	770	385	2801	385	Request for Continued Examination (RCE)	
1802	2802	900	900	2802	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 0)

SUBMITTED BY

Name (Print/Type)	David A. McCloughy	Registration No. (Attorney/Agent)	37,885	Telephone	(248) 641-1800
Signature		Date	May 26, 2004		

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